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Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Attorney for Applicants

Date: 19 December 2006

Application No.

: 10/632,525

Applicant:

Aiken, et al.

Filed:

31 July 2003

Titled: :

SYSTEM AND METHOD FOR WIRELESS REMOTE CONTROL OF

**LOCOMOTIVES** 

**Art Unit** 

2616

Examiner
Atty Docket No.

Chin, Wellington DB001213-000

Customer No.

24122

To:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## **TRANSMITTAL**

Enclosed for filing in the above-captioned application, please find the following:

- Information Disclosure Statement;
- Form PTO/SB/08A; and
- a copy of the International Search Report.

Also enclosed is a return postcard. Please date stamp and mail the postcard in order to acknowledge receipt of the above-reference correspondence. The Commissioner is hereby authorized to charge any underpayment or credit any overpayment to our Deposit Account No. 20-0888. A duplicate copy of this transmittal is enclosed.

Respectfully submitted,

Paul D. Bangor, Jr. Reg. No. 34,768

Thorp Reed & Armstrong, LLP

One Oxford Centre

301 Grant Street, 14th Floor

Pittsburgh, Pennsylvania 15219-1425

Tel.: 412/394-7767 Fax: 412/394-2555 Attorney for Applicants

Dated: 19 December 2006

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Attorney for Applicant(s)

Date: 19 December 2006

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 : 10/632,525

 Applicant:
 : Aiken, et al.

 Filed:
 : 31 July 2003

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CONTROL OF LOCOMOTIVES

**Art Unit** : 2616

Examiner : Chin, Wellington Atty Docket No. : DB001213-000

**Customer No.** : 24122

To: Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Information Disclosure Statement

Sir:

Pursuant to the provisions of 37 CFR §§1.56 and 1.97-98, enclosed herewith is:

Form PTO/SB/08A

listing references for consideration by the Examiner. A copy of the International Search Report is enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is "prior art" to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability as defined in 37 CFR §1.56, or that no other material information exists, and shall not be construed as an admission against applicant(s) interest in any manner.



Application No.: 10/632,525 Date: 19 December 2006

Information Disclosure Statement

The Information Disclosure Statement submitted herewith is being filed pursuant to: 37 C.F.R. §1.97(b)(1); no fee is required; OR П 37 C.F.R. §1.97(b)(2); no fee is required; OR  $\boxtimes$ 37 C.F.R. §1.97(b)(3); no fee is required; OR П 37 C.F.R. §1.97(b)(4); no fee is required; OR 37 C.F.R. §1.97(c); AND either The fee set forth in §1.17(p) is attached; OR Applicant certifies pursuant to 37 CFR §1.97(e) that: П each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR П no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item contained in the Information Disclosure Statement

OR

this statement;

was known to any individual designated under 37 CFR §1.56(c) more than three months prior to the filing of

Application No.: 10/632,525 Date: 19 December 2006

Information Disclosure Statement

П 37 C.F.R. §1.97(d); the fee set forth in §1.17(p) is attached, AND  $\Box$ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item contained in the Information Disclosure Statement was known to any individual designated under 37 CFR §1.56(c) more than three months prior to the filing of this Statement.

Applicants respectfully request that the listed references be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning a copy of the attached form(s) with the next Official Action.

Respectfully submitted,

Dated: 19 December 2006

Paul D. Bangor, Jr. Reg. No. 34,768

Thorp Reed & Armstrong, LLP One Oxford Centre, 14<sup>th</sup> Floor

301 Grant Street

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Tel.: 412/394-7767 Fax: 412/394-2555

PTO/SB/08A (09-06)
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Sheet 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number 10/632,525

Filing Date 31 July 2003

First Named Inventor Aiken et al.

Art Unit 2616

Examiner Name Chin, Wellington

Attorney Docket Number DB001213-000

|                    |              |   | U. S. PATENT                   | DOCUMENTS  |   |
|--------------------|--------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite<br>No.1 | Document Number                         | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                    |              | Number-Kind Code <sup>2</sup> (# known) |                                |  | 1 iguies Appeal   |
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| FOREIGN PATENT DOCUMENTS               |              |   |                             |  |   |                |  |  |  |
|--|--------------|---|-----------------------------|--|---|----------------|--|--|--|
| Examiner<br>Initials*                  | Cite<br>No.1 | Foreign Patent Document   | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |  |  |  |
|  |              | Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known) |                             |  |   |                |  |  |  |
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| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |
| Signature |            |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Finds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. See Finds Codes of USPTO Patent Documents by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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